



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/825,377 | 04/02/2001 | Yi Hu | FRK-4 |

CONFIRMATION NO. 1648
FORMALITIES LETTER


OC00000000606033

Curtis L. Harrington
Suite 250
6300 State University Drive
Long Beach, CA 90815

Date Mailed: 05/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



06-04-01

Section
#3

DOCKET NO.: KELLY-4

UNITED STATES DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

| | | | |
|---------------|-------------|---|-----------------|
| Applicant(s): | Yi Hu |) | |
| | Zhiping Sun |) | GROUP ART: 2631 |
| | |) | |
| Serial No: | 09/825,377 |) | EXAMINER: NYA |
| | |) | |
| Filing Date: | 4/2/2001 |) | |
| | |) | |
| Title: | CODEC |) | |
| | |) | |

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RESPONSE TO THE NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED &
TRANSMITTAL OF ASSIGNMENT & RECORDAL SHEET

Dear Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted DATE MAILED May 09, 2001, enclosed are (1) the Declaration and Power of Attorney signed by the applicants, (2) Small Entity Status

documents (a) by the inventors and (b) by the assignee, (3) a copy of an assignment to be recorded with an assignment cover sheet, and (4) a copy of the Notice to File Missing Parts of Application - Filing Date Granted as required by the PTO, and a check No. 3261 in the amount of \$105.00 of which \$65.00 is in payment of the surcharge, and \$40.00 of which is the assignment recordal fee.

Should any additional fee be required or should any excess fee be paid, please charge or credit such amounts to charge account no. 08-0765.

Date: May 31, 2001

Respectfully submitted:



Phone: (562) 594-9784
KELLY-4
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“EXPRESS MAIL” MAILING LABEL NUMBER: **EL492925133US**

DATE OF DEPOSIT: **May 31, 2001**

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE “EXPRESS MAIL POST OFFICE TO ADDRESSEE” SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO:

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Curtis L. Harrington, Reg. No. 31,456